IAP12 Rec'd PCT/PTO 0 7 MAY 2007.
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Application Number 10/578,437

Application Number 10/578,437

Filling Date May 5, 2006

First Named Inventor Andrew Clayson

Art Unit To Be Assigned

Examiner Name To Be Assigned

Total Number of Pages in This Submission 8

Application Number 10/578,437

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First Named Inventor Andrew Clayson

To Be Assigned

Examiner Name To Be Assigned

Attorney Docket Number 7050392001

			Art Unit		TO BE ASS	ignea	
Total Number of Pages in This S	Examiner Name		To Be Assigned				
Total Number of Pages in This Submission 8			Attorney Docket Nu	mber	7050392001		
		ENCLO	SURES (check all that	apply)			
Fee Transmittal Form		Drawing(s)		After Allowance Communication to TC		
Fee Attached		Licensing	related Papers			Communication to Board als and Interferences	
Amendment / Reply		Petition			,	Communication to TC Notice, Brief, Reply Brief)	
After Final		Petition to Convert to a Provisional Application			Proprietary Information		
Affidavits/declaration(s	, 🗆	Power of Attorney, Revocation Change of Correspondence Address			Status Letter		
Extension of Time Request		☐ Terminal Disclaimer				nclosure(s) dentify below):	
Express Abandonment Red	guest	Request for Refund CD, Number of CD(s)			Executed De Copy of Noti Requirer	ification of Missing	
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Certified Copy of Priority Document(s)	Re	marks					
Reply to Missing Parts/ Incomplete Application							
Reply to Missing Requirements under 3: U.S.C. 371	5						
	SIGNATI	JRE OF	APPLICANT, ATTOR	NEY, OF	RAGENT		
Firm	Bing	jham McCu	tchen LLP				
Signature			? Al				
Printed Name	Sea	Sean P. O'Hanlon					
Date May 7, 200		7, 2007	Reg. No.		47,252		
	CE	RTIFICA	TE OF TRANSMISSI	ON/MAII	LING		
I hereby certify that this corres Service with sufficient postag Alexandria, VA 22313-1450 on	e as first cla	ass mail i					
Signature							
Typed or printed name					Date		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Complete if Known

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Fees Ruleus to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL or FY 2007			Application Number	10/578,437			
			Filing Date	May 5, 2006			
			First Named Inventor	Andrew Clayson		1	
Applicant class sma	II entity st	atus. See 37 CFF	₹ 1.27	Examiner Name	To Be Assigned		
PADEM				Art Unit	To Be Assigned		
TOTAL AMOUNT OF PA	YMENT	(\$) 130.00		Attorney Docket No.	7050392001		
METHOD OF PAYMEN	T (check	all that apply)					
☐ Check ☐ Credit Ca	rd 🔲 M	oney Order	None 🔲	Other (please identif	ÿ) :		
Deposit Account Dep	osit Acco	unt Number: 50-40	47 (Order I	No. 7050392001) Dep	oosit Accou <u>nt Name:</u>	Bingham Mo	Cutchen LLP
For the above-id	entified de	posit account, the	Director is	hereby authorized to:	(check all that apply)	
🛚 Charge fe	e(s) indica	ited below		☐ Cha	rge fee(s) indicated t	oelow, excep	t for the filing fee
		al fee(s) or underp	payments o	fee(s) 🛛 Cred	dit any overpayments	;	
Under 37 WARNING: Information on the	CFR 1.16		redit card In	formation should not t	oe included on this for	m. Provide cre	edit card
Information and authorizatio							3
FEE CALCULATION	······································						
1. BASIC FILING, SEA				A DOLL 5550		10N 5555	
	FILING	FEES Small Entity	SE	ARCH FEES Small Enti	EXAMINAT tv Sn	ION FEES	
Application Type	Fee (\$		Fee	(\$) Fee(\$)	Fee(\$)	Fee(\$)	Fees Paid (\$)
Utility	300	150	500		200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM FE	ES						Small Entity
Fee Description	aludina P	niaguag)				Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100							
Multiple dependent claims					360	180	
Total Claims		Claims Fe	e(\$)	Fee Paid (\$)			Dependent Claims
20 or HP		_ x _	_ =	·		<u>Fee (\$)</u>	Fee Paid (\$)
HP = highest number of		· -		F D-:- (A)			
Indep. Claims			<u>e(\$)</u> _	Fee Paid (\$)			
3 or HP= x = HP = highest number of independent claims paid for, if greater than 3.							
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer							
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50							
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). <u>Total Sheets</u> Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)							
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)							
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge): Late Filing Surcharge 01 FC:1617 138.00 DA 130.00							
							
SUBMITTED BY							
Signature	L.P.	Oth !)	Registration No. (Attorney/Agent)	47,252	Telephone	202-373-6029
Name (Print/Type) Sear	P. O'Hanlon					Date	May 7, 2007
This collection of information is require	ed by 37 CFR	1.136. The information i	is required to o	otain or retain a benefit by th	ne public which is to file (and	by the USPTO to	process) an application.

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

O P EAttorney Ref. 7050392001

MAY 0 7 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FABRADE TE Application of: Andrew Clayson

Application No.: 10/578,437

Group Art Unit: To Be Assigned

Filed: May 5, 2006

Examiner: To Be Assigned

For: FILTER APPARATUS

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Mail Stop Missing Parts Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office dated March 7, 2007, the Applicant submits herewith:

- 1. Transmittal Form,
- 2. Fee Transmittal Form,
- 3. Response to Notification of Missing Requirements,
- 4. Copy of Notification of Missing Requirements, and
- 5. Executed Declaration.

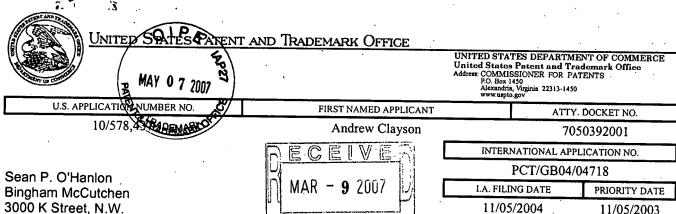
The Commissioner is hereby authorized to charge the \$130 declaration surcharge fee and any insufficiency or credit any overpayment associated with this application to Bingham McCutchen LLP Deposit Account No. 50-4047 (Order No. 7050392001).

Respectfully Submitted,

Dated: May 7, 2007

Sean P. O'Hanlon Reg. No. 47,252

Bingham McCutchen LLP 2020 K Street, NW, Suite 300 Washington, DC 20006 (202) 373-6029



3000 K Street, N.W. Suite 300 Washington, DC 20007



CONFIRMATION NO. 6990

DUPLICATE

371 FORMALITIES LETTER

Date Mailed: 03/07/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/05/2006
- Copy of the International Search Report filed on 05/05/2006
- Preliminary Amendments filed on 05/05/2006
- Information Disclosure Statements filed on 05/05/2006
- U.S. Basic National Fees filed on 05/05/2006
- Priority Documents filed on 05/05/2006
- Specification filed on 05/05/2006
- Claims filed on 05/05/2006
- Abstracts filed on 05/05/2006
- Drawings filed on 05/05/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/578,437	PCT/GB04/04718	7050392001

FORM PCT/DO/EO/905 (371 Formalities Notice)